

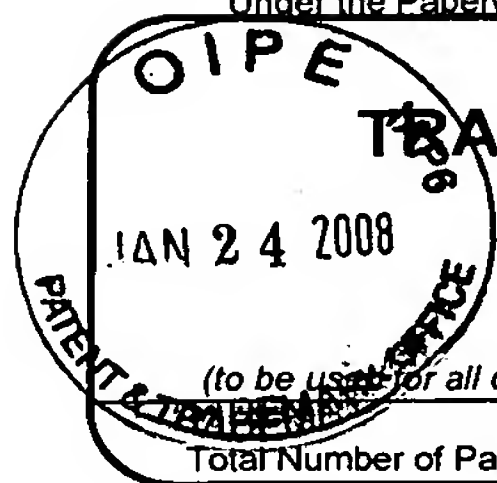
*John*

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/536,511

Filing Date

May 25, 2005

First Named Inventor

Masato Hatanaka et al.

Art Unit

2871

Examiner Name

Not Yet Assigned

Attorney Docket Number

075834.00554

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Form PTO/SB/08A with cited references, Postcard

Remarks

**The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name: Rockey, Depke & Lyons, LLC

Signature

Printed name: Robert J. Depke

Date

11/22/08

Reg. No.

37,607

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Robert J. Depke

Date

11/22/08

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Masato Hatanaka et al.

**Atty. Docket No.:** 075834.00554

**Serial No.:** 10/536,511

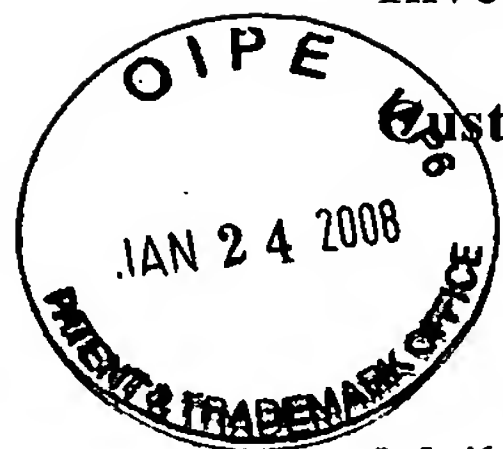
**Group Art Unit:** 2871

**Filed:** May 25, 2005

**Examiner:** Not Yet Assigned

**Invention:** BACKLIGHT APPARATUS, LIQUID CRYSTAL DISPLAY

**Customer No.:** 33448



**SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit a legible copy of each reference listed on the accompanying form PTO/SB/08.

**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**

Applicants hereby certify, as required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each reference identified in this Information Disclosure Statement is submitted within three months of the date on which the reference was first cited in a communication from the Japanese Patent Office in a counterpart Japanese application, and thus no fee is required for consideration thereof. A copy of the communication from the Japanese Patent Office is enclosed for the convenience of the Examiner.

The undersigned also points out that the submission of each reference is neither a concession, nor an admission, that the reference qualifies as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge any required fee to the deposit account of Rockey, Depke & Lyons, LLC, Deposit Account No. 50-3891.

Date:

11/22/06

Respectfully submitted,

  
Robert J. Depke, (Reg. 37,607)

**ROCKEY, DEPKE & LYONS, LLC**

233 S. Wacker Drive, Suite 5450

Chicago, Illinois 60606

Tel: (312) 277-2006

Fax: (312) 441-0570

**Attorneys for Applicants**